

APPLICANT:  
SERIAL NO.  
FILING DATE:  
INVENTION:

NARITA, [REDACTED]uki

Not yet assigned

November 15, 2001

"Apparatus and Method for Communication Control, Communication Apparatus, System and Method for Communication, and Method for Providing the Same"

ATTORNEY DOCKET NO. 0978422, 0008  
GROSS ART UNIT: Not yet assigned  
EXAMINER: Not yet assigned  
#2

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH &amp; ROSENTHAL

By David R. Metzger Reg. 32,919

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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office, Box PCT, Washington D.C. on November 15, 2001.

Paula M. Theismann  
Paula M. Theismann

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE STATEMENT**

APPLICANT(S): NARITA, Hideyuki DOCKET NO.: 09798423-0008  
 SERIAL NO.: Not yet assigned GROUP ART UNIT: Not yet assigned  
 DATE FILED: November 15, 2001 EXAMINER: Not yet assigned  
 INVENTION: "APPARATUS AND METHOD FOR COMMUNICATION  
 CONTROL, COMMUNICATION APPARATUS, SYSTEM AND METHOD FOR  
 COMMUNICATION, AND MEDIUM FOR PROVIDING THE SAME"

Hon. Assistant Commissioner for Patents

Box PCT

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

**I. SUBMITTED US PATENT REFERENCES**

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
5,663,953 (English equivalent of JP 08-88632)	US	September 2, 1997
6,094,214 (English equivalent of JP 11-146372)	US	July 25, 2000

**II. SUBMITTED FOREIGN PATENT REFERENCES**

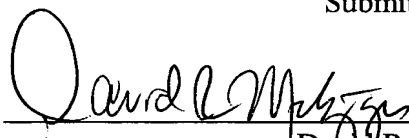
<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
JP 08-88632	JP	February 4, 1996
JP 08-263398 (English abstract only)	JP	October 11, 1996
JP 11-272577 (English abstract only)	JP	October 8, 1999
JP 11-1463272	JP	May 28, 1999

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
JP 02-28769 (English abstract only)	JP	January 30, 1990
JP 11-069329 (English abstract only)	JP	March 9, 1999
JP 06-180686 (English abstract only)	JP	June 28, 1994
JP 08-321884 (English abstract only)	JP	December 3, 1996
JP 07-298240 (English abstract only)	JP	November 10, 1995
EP 0817412	EP	January 7, 1998
JP 10-75219	JP	March 17, 1998

### III. EXPLANATION OF RELEVANCE

The above-identified references were cited in Japanese Office Search Report of June 4, 2001 in counterpart PCT/JP01/01929. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. 32,919)

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Form PTO-1449 (Rev. 8-88)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. J010-88632-0006 PCT/PTO	Serial No. 1-5 NOV 2001
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		Applicant NARITA, Hideyuki	
		Filing Date	Group Art Unit: Unknown
		November 15, 2001	

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	5,663,953 (English equivalent of JP 08-088632)	09/02/97	Maekawa, Y.			
	6,094,214 English equivalent of JP 11-146372)	07/25/00	Katsumi, T.			

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Class	Subclass	Translation	
					Yes	No
	JP 08-88632	10/11/96			x	
	JP 08-263398 (English abstract only)	10/11/96				x
	JP 11-272577 (English abstract only)	10/08/99				x
	JP 11-146372 (English abstract only)	05/28/99			x	
	JP 02-28769 (English abstract only)	01/30/90				x
	JP 11-69329 (English abstract only)	03/09/99				x
	JP 06-180686 (English abstract only)	06/28/94				x
	JP 08-321884 (English abstract only)	12/03/96				x

		JP 07-298240 (English abstract only)	11/10/95					X
		EP 0817412	01/07/98					X
		JP 10-75219	03/10/98					x
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
Examiner				Date Considered				
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								